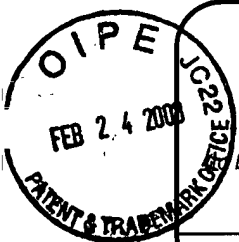


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Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

be used for all correspondence after initial filing)

Application Number	09/073,494
Filing Date	May 6, 1998
First Named Inventor	Pan et al.
Group Art Unit	2811
Examiner Name	H. Vu
Attorney Docket Number	2269-2915.1US (96-149.01)

## ENCLOSURES (check all that apply)

☒ Postcard receipt acknowledgment (attached to the front of this transmittal)

☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16

☐ Preliminary Amendment

☐ Response to Restriction Requirement/Election of Species Requirement dated

☒ Amendment in response to office action dated October 24, 2002.

☐ Amendment under 37 C.F.R. § 1.116 in response to final office action dated

☐ Additional claims fee - Check No. in the amount of \$

☒ Letter to Chief Draftsman and copy of FIGS. 12, 16, 20, 21 and 23 with changes made in red

☒ Transmittal of Formal Drawings

☒ Formal Drawings (14 sheets)

☐ Information Disclosure Statement, PTO/SB/08A (08-00); ☐ copy of cited references

☐ Supplemental Information Disclosure Statement; PTO/SB/08A (08-00); copy of cited references and Check No. in the amount of \$180.00

☒ Associate Power of Attorney

☒ Petition for Extension of Time and Check No. 18651 in the amount of \$110.00.

☐ Petition

☐ Fee Transmittal Form

☐ Certified Copy of Priority Document(s)

☐ Assignment Papers (for an Application)

☐ Terminal Disclaimer

☐ Terminal Disclaimer

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☐ Other Enclosure(s) (please identify below):

Remarks

The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Tawni L. Wilhelm

Registration No. 47,456

Signature

Date

February 19, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Typed or printed name

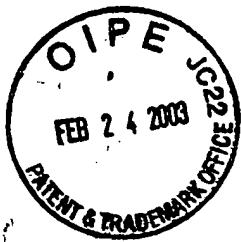
Amanda Holland

Signature

Date

February 19, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#28/Ltr c/d  
3/4/3  
Surles

In re Application of:

Pan et al.

Serial No.: 09/073,494

Filed: May 6, 1998

For: TECHNIQUE FOR ELIMINATION  
OF PITTING ON SILICON SUBSTRATE  
DURING GATE STACK ETCH

Confirmation No.: 9834

Examiner: H. Vu

Group Art Unit: 2811

Attorney Docket No.: 2269-2915.1US  
(96-149.01)

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February 19, 2003  
Date

  
Signature

Amanda Holland  
Name (Type/Print)

LETTER TO THE CHIEF DRAFTSMAN

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith revised FIGS. 12, 16, 20, 21 and 23 which correct errors in the drawings. Specifically, FIGS. 12 and 16 have been revised to add the reference numeral 118 with appropriate lead line; and FIGS. 20, 21 and 23 have been revised to add "PRIOR ART". Attached is a copy of the drawings with the proposed changes marked in red.

No new matter has been added. Approval of the proposed revisions is respectfully requested.

Respectfully submitted,



Tawni L. Wilhelm  
Registration No. 47,456  
Attorney for Applicant(s)  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: February 19, 2003  
TLW/ah

Enclosures: Drawings with changes marked in red  
Document in ProLaw



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Pan et al.

**Serial No.:** 09/073,494

**Filed:** May 6, 1998

**For:** TECHNIQUE FOR ELIMINATION  
OF PITTING ON SILICON SUBSTRATE  
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**Confirmation No.:** 9834

**Examiner:** H. Vu


**Group Art Unit:** 2811

**Attorney Docket No.:** 2915.1US  
(96-149.01)

**CERTIFICATE OF MAILING**

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February 19, 2003  
Date

  
Signature

Amanda Holland  
Name (Type/Print)

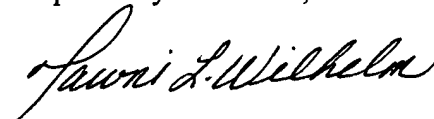
**TRANSMITTAL OF FORMAL DRAWINGS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached please find the formal drawings for this application.

Respectfully submitted,



Tawni L. Wilhelm  
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P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: February 19, 2003  
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